

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
OKI.586

In Re Application Of: **Tae Yamane et al.**

MAY 20 2004

Serial No.  
10/681,283

Filing Date  
10/09/2003

Examiner  
TBA

Group Art Unit  
2811

Title: **SEMICONDUCTOR DEVICE AND FABRICATION METHOD OF THE SAME**

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below.
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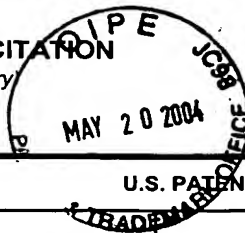
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# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



ATTY DOCKET NO. <b>OKI.586</b>		SERIAL NO. <b>10/681,283</b>
Tae Yamane et al.		
FILING <b>Oct. 9, 2003</b>	GROUP <b>2811</b>	

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A	2002/0047213	04/25/2002	KOMIYAMA et al.			
	B	6,413,797	07/02/2002	OKA et al.			
	C	2001/0038151	11/08/2001	TAKAHASHI et al.			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	D	WO 01/03189	01/11/2001	PCT			✓ Abstract	
	E	2003-504849	02/04/2003	JAPAN				✓
	F	2002-110898	04/12/2002	JAPAN				✓
	G	2001-274319	10/05/2001	JAPAN			✓	
	H	2001-035994	02/09/2001	JAPAN			✓	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER	DATE CONSIDERED
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**OKI.586**

**10/681,283**

Tae Yamane et al.

**Oct. 9, 2003**

2811

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

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**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


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